



~~2/2003~~ Sector  
2661 0410/2681

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Norihiko MIZOBATA

Serial No.: 09/973,090

Filed: October 10, 2001

For: DATA SELECTION/STORAGE APPARATUS AND DATA PROCESSING  
APPARATUS USING DATA SELECTION/STORAGE APPARATUS

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NOTIFICATION OF PRIOR SUBMISSION OF  
ORIGINAL EXECUTED DECLARATION

Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

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Technology Center 2100

Sir:

In response to the Notice to File Missing Parts dated November 13, 2001, applicant's representatives filed all the requested documents with the USPTO on November 13, 2001. Attached hereto are photocopies of applicant's representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the PTO's copy of the Notice to File Missing Parts.

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP ACCT. 16-0331  
PARKHURST & WENDEL

The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

29 Nov 2001  
Date

Attorney Docket No. HYAE:126

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220

  
Roger W. Parkhurst  
Registration No. 25,177

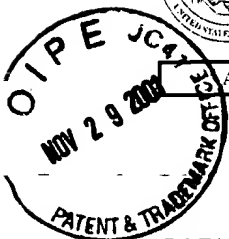
(rev. 4/98)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

#6



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,090	10/10/2001	Norihiko Mizobata	HYAE:126

PARKHURST & WENDEL, L.L.P.  
Suite 210  
1421 Prince Street  
Alexandria, VA 22314-2805

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CONFIRMATION NO. 4801

## FORMALITIES LETTER



\*OC00000007058049\*

Date Mailed: 11/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

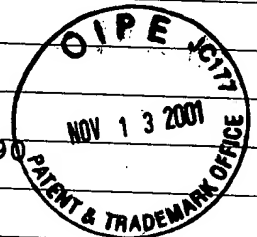
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Atty. Docket No. **HYAE:126**  
Description: **Submission of execut Declaration attached executed**  
**Decl.; Assgn. Transmtl. Ltr. attached executed**  
**Assignment; IDS and four (4) refs.; Claim to priority lcc**  
**2000-308576; Check No. 14690 in the amount of \$910.00.**  
**Norihiko MIZOBATA**  
Inventor(s):  
Title (new cases):  
Attorney: **RWP:ddh** Serial No. **09/973,090**  
Paper(s) Filed: **November 13, 2001**  
Date(s) Satisfied: **none**  
N.D.D.: **none**



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Norihiko MIZOBATA

Serial No.: 09/973,090

Filed: October 10, 2001

For: DATA SELECTION/STORAGE APPARATUS AND DATA PROCESSING  
APPARATUS USING DATA SELECTION/STORAGE APPARATUS

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

The above-identified application was duly filed on October 10, 2001, without an executed Declaration. Accordingly, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$910.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover the full filing fees.

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Accordingly examination and allowance of the application in due course are respectfully solicited.

All correspondence should be sent to applicants' representative at the address indicated below.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

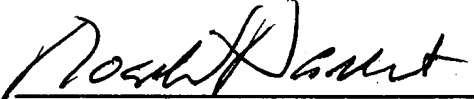
PARKHURST & WENDEL, L.L.P.

November 13, 2001  
Date

RWP:ddh

Attorney Docket No. HYAE:126

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177

(rev. 4/98)

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In re Application of

Norihiko MIZOBATA

Serial No.: 09/973,090

Filed: October 10, 2001

For: DATA SELECTION/STORAGE APPARATUS AND DATA PROCESSING  
APPARATUS USING DATA SELECTION/STORAGE APPARATUS

ASSIGNMENT TRANSMITTAL LETTER

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please record the attached Assignment in the name of the  
assignor and the assignee as indicated below and return the  
original document to the undersigned.

1. Submission Type:

Conveyance Type:

<input checked="" type="checkbox"/> New	<input checked="" type="checkbox"/> Assignment
<input type="checkbox"/> Resubmission (Non-Recordation)	<input type="checkbox"/> Security Agreement
Document ID# _____	<input type="checkbox"/> License
<input type="checkbox"/> Correction of PTO Error	<input type="checkbox"/> Change of Name
Reel # _____ Frame # _____	<input type="checkbox"/> Merger
<input type="checkbox"/> Corrective Document	<input type="checkbox"/> Other
Reel # _____ Frame # _____	

2. Name of Conveying Party(ies):

Norihiko MIZOBATA.

3. Name and Address of Receiving Party(ies):

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.

1006, Oaza Kadoma, Kadoma-shi, Osaka 571-8501 Japan.

4. Execution Date of Assignment: October 23, 2001.

5. If this Assignment is being filed together with a new application, the execution date of the application is: October 23, 2001.

6. Total number of applications and patents involved: 1.

All correspondence should be addressed to:

Roger W. Parkhurst  
PARKHURST & WENDEL, L.L.P.  
Suite 210  
1421 Prince Street  
Alexandria, VA 22314-2805.

The Assignment recordation fee (\$40.00) is covered by our attached Check Number .

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Total number of pages including cover sheet, attachments and document 4.




If there should be any questions concerning the amount of the fee or should our check become detached, please debit or credit our Deposit Account Number 16-0331 as needed in order to effect proper filing of the attached document.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

November 13, 2001

Date



Roger W. Parkhurst

Registration No. 25,177

Attorney Docket No. HYAE:126

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Telephone: (703) 739-0220